Docket No. GB920000044US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: K. Seamons, et al

Serial No.: 09/800,641

Filed: 3-7-2001

For: Automated Trust Negotiation

Art Unit: 2161

Examiner:

Assistant Comissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement
2. USPTO Form 1449, 2 pages
3. References, 19
4. Business Reply Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on July 17, 2001

Dianne Lane
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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In the application of	:	July 17, 2001
K. Seamons, et al	:	IBM Corporation
Filing Date: 3-7-2001	:	Dept.T81/Bldg. 503-3
Serial No. 09/800,641	:	P.O. Box 12195
For: Automated Trust Negotiation	:	Research Triangle Park,
	:	North Carolina 27709
	:	Art Unit 2161

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.
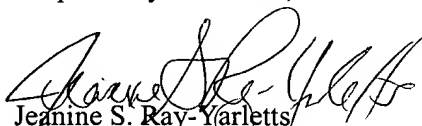
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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GB920000044US3

7.

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Form PTO-1449	ATTORNEY DOCKET NO. GB920000044US3	SERIAL NO. 09/800,641
LIST OF PRIOR ART CITED BY APPLICANT *(Use several sheets if necessary)*		
	APPLICANT **K. Seamons, et al**	
	FILING DATE 3-7-2001	GROUP ART UNIT 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART *(including author, title, date, pertinent page, etc.)*

	AA	E. Bina, V. Jones, R. McCool, and M. Winslett, "Secure Access to Data Over the Internet", Proceedings of the Third ACM/IEEE International Conference on Parallel and Distributed Information Systems, Austin, Texas, Sept. 1994.
	AB	M. Blaze, J. Feigenbaum, J. Ioannidis, and A. Keromytis, "The KeyNote Trust Management system", work in progress, internet draft, Marach 1999.
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	AD	M. Blaze, J. Feigenbaum, and J. lacy, "Decentralized Trust Management", 1996 IEEE Conference on Privacy and Security, Oakland 1996.
	AE	N. Ching, V. Jones, and M. Winslett, "Authorization in the Digital Library: Secure Access to Services Across Enterprise Boundaries", Proceedings of ADL '96 - - Forum on Research and Technology Advances in Digital Libraries, Washington, DC, May 1996.
	AF	T. Dierks, C. Allen, "The TLS Protocol Version 1.0", Network Working Group, Jan. 1999, http://www.ietf.org/rfc/rfc2246.txt
	AG	A. Frier, P. Karlton, and P. Kocher, "The SSL 3.0 Protocol", Netscape Communications Corp., Nov., 1996; http://home.netscape.com/eng/ss13/draft302.txt
	AH	N. Li, J. Feigenbaum, and B. Grosof, "A Logic-based Knowledge Representation for Authorization with Delegation" (extended abstract), Proceedings of the 12th Computer Security Foundations Workshop, IEEE Computer Society Press, Los Alamitos, 1999, pp. 162-174.
	AI	N. Li, B. Grosof and J. Feigenbaum, "A Practically Implementable and Tractable Delegation Logic", Proceedings of the 2000 IEEE Symposium on Security and Privacy.
	AJ	W. Johnston, S. Mudumbai, and M. Thompson, "Authorization and Attribute Certificates for Widely Distributed Access Control", Proceedings of the IEEE 7th International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises-WETICE '98.

DATE CONSIDERED	EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

0001RF-108/49776.1

Form PTO-1449	ATTORNEY DOCKET NO. GB920000044US3	SERIAL NO. 09/800,641
LIST OF PRIOR ART CITED BY APPLICANT (Continued)		
	APPLICANT **K. Seamons, et al**	
	FILING DATE 3-7-2001	GROUP ART UNIT 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

	AK	A. Herzberg, J. Mihaeli, Y. Mass, D. Naor, and Y. Ravid, "Access Control Meets Public Key Infrastructure, Or: Assigning Roles to Strangers", IBM Haifa Research Laboratory, http://www.hrl.il.ibm.com.
	AL	"The Trust Policy Language", IBM Haifa Research Laboratory, http://www.hrl.il.ibm.com/Trust Establishment/PolicyLanguage.html
	AM	K. Seamons, W. Winsborough, and M. Winslett, "Internet Credential Acceptance Policies", Proceedings of the 2nd International Workshop on Logic Programming Tools for Internet Aplications, Leuven, Belgium, July 1997.
	AN	W. Winsborough, K. Seamons, and V. Jones, "Automated Trust Negotiation: Managing Disclosure of Sensitive Credentials", Transarc Research White Paper, May 1999.
	AO	W. Winsborough, K. Seamons, and V. Jones, "Negotiating Disclosure of Sensitive Credentials", Second Conference on Security in Communication Networks '99, Amalfi, Italy, September, 1999.
	AP	W. Winsborough, K. Seamons, and V. Jones, "Automated Trust Negotiation", DARPA Information Survivability Conference and Exposition (DISCEX '2000), January 2000.
	AQ	M. Winslett, N. Ching, V. Jones, and I. Slepchin, "Using Digital Credentials on the World-Wide Web", Journal of Computer Security, 5, 1997.
	AR	"Simple Public Key Infrastructure (SPKI)", http://www.ietf.org/html.charters/spki-charter.html
	AS	International Telecommunication Union, Recommendation X.509, "Information Technology - - Open Systems Interconnection - - The Directory: Authentication Framework", August 1997.

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